

# Rotations in 3D

- In 2D:  $R_{2D} = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix}$

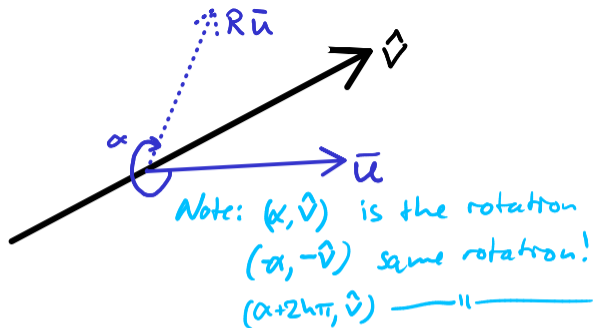
- In 3D: Not so simple!

- Orthogonal matrices with determinant = 1

*those with  $\det R = -1$  are reflections*

- 3 DoF

- Many different parametrisations, useful in different situations



# Tait-Bryan Angles

$$R_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha \\ 0 & \sin \alpha & \cos \alpha \end{pmatrix}$$

rotation around the x-axis

$$R_y(\beta) = \begin{pmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{pmatrix}$$

y-axis

$$R_z(\gamma) = \begin{pmatrix} \cos \gamma & -\sin \gamma & 0 \\ \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

z-axis

General:  $R = R(\alpha, \beta, \gamma) = R_x(\alpha) R_y(\beta) R_z(\gamma)$

Enter angles:

$$R_x(\alpha) R_y(\beta) R_z(\gamma)$$

$$R_z(\alpha) R_x(\beta) R_z(\gamma)$$

# Rodrigues' Formula

Rotating a single vector: axis  $\hat{v}$ , angle  $\alpha$

$$y' = y + \sin \alpha (\hat{v} \times y) + (1 - \cos \alpha) (\hat{v} \times (\hat{v} \times y))$$
$$(\mathbf{I} + \sin \alpha [\hat{v}]_x + \underbrace{(1 - \cos \alpha)}_{\sin^2(\frac{\alpha}{2})} [\hat{v}]_x^2) y$$

Reading off the rotation matrix:

$$R = \mathbf{I} + \sin \alpha [\hat{v}]_x + (1 - \cos \alpha) [\hat{v}]_x^2$$

$$[\hat{v}]_x^3 = -[\hat{v}]_x$$
$$[\hat{v}]_x^2 = \hat{v} \hat{v}^T - \mathbf{I}$$

# Rodrigues' Formula

$$R_X = R(x_{\parallel} + x_{\perp}) = R x_{\parallel} + R x_{\perp}$$

But

$$\begin{aligned} R x_{\perp} &= \cos \psi x_{\perp} + \sin \psi (\hat{v} \times x_{\perp}) = \\ &= -\cos \psi (\hat{v} \times (\hat{v} \times x_{\perp})) + \sin \psi (\hat{v} \times x_{\perp}) = \\ &= -\cos \psi (\hat{v} \times (\hat{v} \times X)) + \sin \psi (\hat{v} \times X). \end{aligned}$$

Now,

$$\begin{aligned} R_X &= R x_{\parallel} + R x_{\perp} = X_{\parallel} + R x_{\perp} = \\ &= \underbrace{X_{\parallel} + X_{\perp}}_X + \sin \psi [\hat{v}]_x X + [\hat{v}]_x^2 X - \cos \psi [\hat{v}]_x^2 X = \\ &= X + \sin \psi [\hat{v}]_x X + (1 - \cos \psi) [\hat{v}]_x^2 X. \end{aligned}$$

$$\hat{v} \times X = \underbrace{\hat{v} \times X_{\parallel}}_{=0} + \hat{v} \times X_{\perp}$$

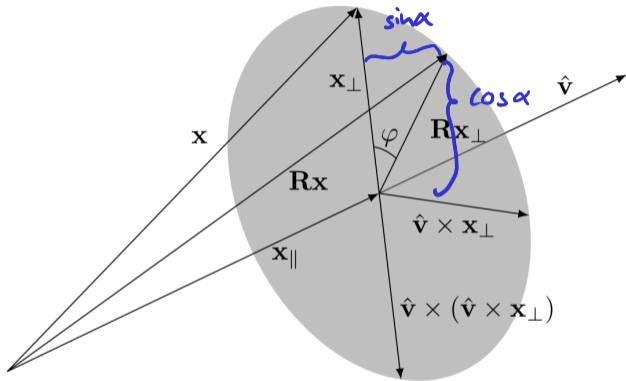


Figure: Geometric interpretation of Rodrigues' formula.

# Matrix Exponentials

For  $A \in \mathbb{R}^{n \times n}$ , define  $e^A = \sum_{h=0}^{\infty} \frac{A^h}{h!}$ .

If  $A$  is diagonalisable:  $A = S \Lambda S^{-1}$ ,

$$\Lambda = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} \Rightarrow \Lambda^h = \begin{pmatrix} \lambda_1^h & & \\ & \ddots & \\ & & \lambda_n^h \end{pmatrix}$$

$$e^A = \sum_{h=0}^{\infty} \frac{(S \Lambda S^{-1})^h}{h!} = \sum_{h=0}^{\infty} S \frac{\Lambda^h}{h!} S^{-1} = S \left( \sum_{h=0}^{\infty} \frac{\Lambda^h}{h!} \right) S^{-1} = S e^{\Lambda} S^{-1}$$

$$= S \begin{pmatrix} \sum_{h=0}^{\infty} \frac{\lambda_1^h}{h!} & & \\ & \ddots & \\ & & \sum_{h=0}^{\infty} \frac{\lambda_n^h}{h!} \end{pmatrix} S^{-1} = S \begin{pmatrix} e^{\lambda_1} & & \\ & \ddots & \\ & & e^{\lambda_n} \end{pmatrix} S^{-1}$$

$$AS = S\Lambda$$

$$A(s_1 \dots s_n) = (\lambda_1 s_1, \dots, \lambda_n s_n)$$

# Rotations as Exponentials

Let  $R(\alpha)$  be an orthogonal  $3 \times 3$  matrix:

$$RR^T = I \Rightarrow \frac{d}{d\alpha}(RR^T) = \frac{dI}{d\alpha} = 0$$

$$\frac{dR}{d\alpha} R^T + R \frac{dR^T}{d\alpha} = 0 \Rightarrow \frac{dR}{d\alpha} R^T = -\left(\frac{dR}{d\alpha} R^T\right)^T = \text{antisymmetric} = \begin{pmatrix} 0 & -v_3 & v_2 \\ v_3 & 0 & -v_1 \\ -v_2 & v_1 & 0 \end{pmatrix} = [v]_x$$

$$\frac{dR}{d\alpha} R^T = [v]_x \Leftrightarrow \frac{dR}{d\alpha} = [v]_x R = R(\alpha) = e^{[v]_x \alpha} \underbrace{R(0)}_{=I} = e^{[v]_x \alpha}$$

eigenvalues:  $e^{\pm i\alpha}$ , 1

if  $\|v\|=1$

# Recovering Axis-Angle from R

$$[\hat{v}]_x^2 = \begin{pmatrix} 0 & -v_3 & v_2 \\ v_3 & 0 & -v_1 \\ -v_2 & v_1 & 0 \end{pmatrix}^2 = \begin{pmatrix} -v_3^2 - v_2^2 & v_1 v_2 & v_1 v_3 \\ v_1 v_2 & -v_3^2 - v_1^2 & v_2 v_3 \\ v_1 v_3 & v_2 v_3 & -v_2^2 - v_1^2 \end{pmatrix}$$

Rodrigues':  $R = I + \sin \alpha [\hat{v}]_x + (1 - \cos \alpha) [\hat{v}]_x^2$

consider  $\text{tr} R = 3 + 0 + (1 - \cos \alpha) \underbrace{-2(v_1^2 + v_2^2 + v_3^2)}_{= \|\hat{v}\|^2 = 1}$

$$= 3 - 2 + 2 \cos \alpha = 1 + 2 \cos \alpha \Leftrightarrow \cos \alpha = \frac{\text{tr} R - 1}{2}$$

and  $R - R^T = 0 + 2 \sin \alpha [\hat{v}]_x + 0 \Rightarrow \sin \alpha [\hat{v}]_x = \frac{R - R^T}{2} = \begin{pmatrix} 0 & -n_3 & n_2 \\ n_3 & 0 & -n_1 \\ -n_2 & n_1 & 0 \end{pmatrix}$

$$(\sin \alpha) \hat{v} = n \Rightarrow \|n\| = \sin \alpha \\ \hat{v} = \frac{n}{\|n\|}$$

Use both  $\sin \alpha$  and  $\cos \alpha$  to determine the angle!